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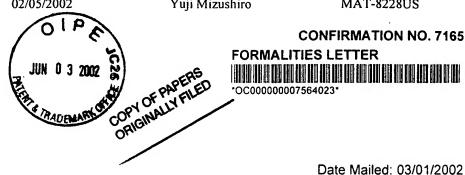
## United States Patent and Trademark Office

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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/067,387 02/05/2002 Yuji Mizushiro MAT-8228US

RATNER AND PRESTIA Suite 301 One Westlakes, Berwyn P.O. Box 980 Valley Forge, PA 19482-0980



### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/05/2002 MBERME 00000101 10097387

01\_FC:105



**PATENT** 

#### IN THE UNITED STATES PA D TRADEMARK OFFICE

Applicant:

Yuji Mizushiro et al.

Serial No.:

10/067,387

Filed:

For:

February 5, 2002

RECORDING AND REPRODUCING

**DEVICE AND PROGRAM LIST** FORMING METHOD THEREOF : Art Unit: 2611

: Examiner:

: Box Missing Parts

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**Assistant Commissioner for Patents** Washington, DC 20231

SIR:

Responsive to the Office Letter dated March 1, 2002 enclosed is the Declaration/Power of Attorney in compliance with 37 CFR 1.63. Also enclosed is a copy of the Formalities Letter and a check in the amount of \$130.00 to cover the required surcharge fee.

Respectfully Submitted.

Lawrence E. Ashery, Reg. No. 34,515

Attorney for Applicants

LEA/dlm

Dated: May 21, 2002.

P.O. Box 980

Valley Forge, PA 19482-0980

(610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents,

Washington, DC 20231 on:

MP/#

PTO/SB/17 (10-01) (AW 2/02)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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CEE TO A NOMITTAL	Complete If Known					
FEE TRANSMITTAL	Application Number	10/067,387	$\overline{}$			
for FY 2002	Filing Date	February 5, 2002				
PERS	First Named Inventor	Y. Mizushiro et al.				
Patent fees are subject to annual revision.  COPY OF PAPERS  COPY OF PAPERS  ORIGINALLY FILE	Examiner Name					
	Group / Art Unit	2611				

BANGUAL AMOUNT OF PAYMENT   (\$) 666	Attor	ney Do	cket No	). M	AT-8228US		
METHOD OF PAYMENT (check one)				FE	E CALCULATION (continued)		
1.   The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:			Er	ES mall ntity			
Deposit Account 18-0350	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee Paid		
Number	105	130	205	65	Surcharge - late filing fee or oath 130		
Deposit			227	25	Surcharge - late provisional filing fee or cover sheet.		
Account Name			139	130	Non-English specification		
☐ Charge Any Additional Fee Required			147	2,520	For filing a request for ex parte reexamination		
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
See 37 CFR 1.27  2.  Payment Enclosed:	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
	115	110	215	55	Extension for reply within first month 110		
☑.Check ☐ Credit card ☐ Money ☐ Other Order	116	400	216	200	Extension for reply within second month		
FEE CALCULATION	117	920	217	460	Extension for reply within third month		
1. BASIC FILING FEE	118	1,440	218	720	Extension for reply within fourth month		
Large Entity Small Entity Fee Fee Fee Fee Description	128	1,960	228	980	Extension for reply within fifth month		
Code (\$) Code (\$) Fee Paid	119	320	219	160	Notice of Appeal		
101 740 201 370 Utility filing fee 106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal		
106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing		
108 740 208 370 Reissue filing fee 114 160 214 80 Provisional filling fee	138	1,510	138	1,510	Petition to institute a public use proceeding		
	140	110	240	55	Petition to revive – unavoidable		
SUBTOTAL (1) (\$) 0	141	1,280	241	640	Petition to revive - unintentional		
2. EXTRA CLAIM FEES  Extra Fee from Fee	142	1,280	242	640	Utility issue fee (or reissue)		
Claims   below   Paid     Paid	143	460	243	230	Design issue fee		
Independent	144	620	244	310	Plant issue fee		
Sidenis Company of the company of th	122	130	122	130	Petitions to the Commissioner		
Multiple Dependent  X = 0	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity	126	180	126	180	Submission of Information Disclosure Stmt		
Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)		
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection (37		
102         84         202         42         Independent claims in excess of 3           104         280         204         140         Multiple dependent claim, if not paid	149	740	249	370	CFR § 1.129(a)) For each additional invention to be		
109 84 209 42 ** Reissue independent claims over original patent	179	740	279	370	examined (37 CFR § 1.129(b))  Request for Continued Examination (RCE)		
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169	900	169	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$) 426	Other fee (specify)						
	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 240						
**or number previously paid, if greater; For Reissues, see above					(7)		

SUBMITTED BY	1			1//	Co	omplete (if applicable)	
Name (Print/Type)	wrence E. Ashe	ry Registr	ation No. Attorney/Agent)	\$4,515	Telephone	(610) 407-0700	
Signature	Mu	$\mathcal{I}_{-}$			Date	May 21, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hoor Statement: This form is estimated to taxe 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

JUN 0 3 2002